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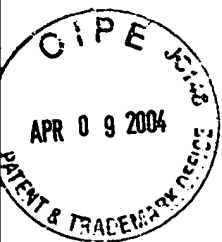
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLN. OF: ANDRE et al.

SERIAL NO.: 10/607,912

FILED: June 27, 2003

FOR: Thin Layer Hafnium Oxide and Deposit Process

DOCKET: BREV 13186 DIV

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Dear Sir:

In connection with the above-entitled matter, Applicants wish to bring to the attention of the Patent Office additional art received in connection with an Office Action in the parent application, U.S. Serial No. 09/830,380. Attached are copies of U.S. Patent Office Form PTO-892 and the Office Action dated March 22, 2004. Since the references were cited in the parent application, additional copies are not believed necessary. The claims in the present application are believed to be patentably distinguished over these references.

This Supplemental Information Disclosure Statement is being made pursuant to the duty of disclosure imposed by law and formulated in 37 CFR 1.56(A). No representation is made that the information thus disclosed in fact constitute prior art or that it is the closest prior art, inasmuch as 37 CFR 1.56(A) relies on a materiality concept which depends on subjectivity.

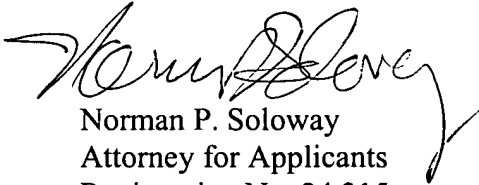
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In compliance with the requirements of 37 C.F.R. § 1.98(a)(3), as a concise statement of relevance, as it is presently understood by the individual designated in 35 U.S.C. § 1.56(c) most knowledgeable about the content of the information, the undersigned attorney of record submits a copy of an official action by a in which the references were cited. The relevance to the pending U.S. patent application is that the references were cited in the U.S. parent application. However, no independent analysis of the references, the accuracy of the statement of the examiner or the claims of the parent application under the laws of the country or the United States relative to the subject matter claimed in the present application has been made; the present understanding of the contents thereof by the undersigned being based on the examiner's comments submitted therewith.

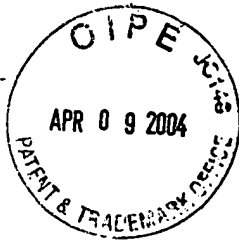
The enclosed Supplemental Information Disclosure Statement is being submitted within three months of receipt of the Office Action in the parent application, as certified in the attached Certification for Information Disclosure Statement. Therefore, we believe there are no fees involved with this prior art disclosure statement. However, in the event there are any fees payable, please charge them to our Deposit Account No. 08-1391.

Respectfully submitted,

  
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Serial No. 10/607,712

Docket No. BREV 13186 DIV

Supplemental Information Disclosure Statement

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the  
United States Postal Service as First Class Mail in an envelope addressed to:  
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By:

**HAYES SOLOWAY P.C.**

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**STATEMENT UNDER 37 CFR 1.97(e) ACCOMPANYING  
INFORMATION DISCLOSURE STATEMENT**

Docket No.  
**BREV 13186 DIV**

In Re Application Of: **ANDRE et al.**

**APR 09 2004**

Serial No.  
**10/607,912**

Filing Date  
**June 27, 2003**

Examiner

Group Art Unit  
**1775**

Invention: **Thin Layer Hafnium Oxide and Deposit Process**

**TO THE ASSISTANT COMMISSIONER FOR PATENTS:**

This is a statement under the provisions of 37 CFR 1.97(e) in the above-identified application.

Check applicable statement herebelow:

**Statement Under 37 CFR 1.97(e)(1)**

- ☒ Each item of information contained in the accompanying Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement.

**Statement Under 37 CFR 1.97(e)(2)**

- ☐ No item of information contained in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned person, after making reasonable inquiry, no item of information contained in the accompanying Information Disclosure Statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.

*Signature*

Dated:

*April 6, 2004*

**Norman P. Soloway  
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**Certificate of Mailing by First Class Mail**

I certify that this document is being deposited on *April 6, 2004* with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

*Signature of Person Mailing Correspondence*

**Kathryn Grimes**

*Typed or Printed Name of Person Mailing Correspondence*

CC: